

Education Agenda Page 32, Items 6110-161-0001, 6110-296-0001, and 6110-001-0890 Education Mandates Block Grant

June 4, 2013
Page 1

- Block Grant Funding.** Governor proposed to add two mandates—Graduation Requirements and Behavioral Intervention Plans (BIP)—to the block grant and increase funding by \$100 million. Senate approved the proposal. Assembly rejected the proposal.
- BIP Requirements.** Governor proposed trailer bill language (TBL) to repeal most state BIP requirements to conform more closely with federal law. Both Senate and Assembly adopted most of Governor’s language. Senate added to the language new findings, declarations, and restatements of federal law. Assembly deleted from the language provisions requiring the California Department of Education (CDE) to issue non-mandatory guidance to local educational agencies (LEAs).
- Additional BIP Technical Assistance (\$230,000).** Senate provided \$230,000 in one-time federal special education carryover funds to CDE to provide technical assistance and guidance to LEAs on BIPs. Governor and Assembly did not include funding for this purpose.
- Block Grant Reporting Dates.** Governor proposed moving up the annual deadlines by which (1) LEAs must request to participate in the block grant and (2) CDE must report to the Legislature regarding block grant participation. Senate approved the proposal. Assembly rejected the proposal.
- New Pupil Expulsions and Suspensions Mandate.** Governor proposed adding new Pupil Expulsions II, Pupil Suspensions II, and Educational Services Plan for Expelled Pupils mandate to the block grant. Senate approved the proposal. Assembly rejected the proposal.



Education Agenda Page 32, Items 6110-161-0001, 6110-296-0001, and 6110-001-0890 Education Mandates Block Grant

June 4, 2013
Page 2

LAO Compromise:

- Reject Block Grant Augmentation.** Exclude Graduation Requirements and BIP from the block grant and reject \$100 million augmentation. Include TBL to modify existing offset language for Graduation Requirements should the Local Control Funding Formula replace revenue limit funding. Retain existing BIP offset language.
- Modify Language for BIP Requirements.** Retain most language adopted by both houses. Compromise language also (1) includes a limited number of findings, declarations and restatements of federal law; (2) deletes TBL requiring CDE to issue non-mandatory guidelines and instead inserts budget bill language (BBL) requiring CDE to provide technical assistance relating to BIPs; (3) modifies language requiring Department of Finance to notify the Commission on State Mandates about BIP modifications; and (4) includes offset language in BBL to reinforce that special education funds are intended to cover the costs of remaining BIP requirements (already specified in Education Code).
- Provide Additional BIP Technical Assistance.** Provide \$230,000 in one-time federal funds for CDE to provide technical assistance on BIPs.
- Include Earlier Reporting Dates.** Move up deadlines for (1) districts to elect to participate in block grant and (2) CDE to report on block grant participation to the Legislature.
- Add New Mandate.** Include new pupil expulsions and suspensions mandate in block grant.



Education Agenda Page 32, Items 6110-161-0001, 6110-296-0001, and 6110-001-0890 Education Mandates Block Grant

June 4, 2013
Page 3

LAO Compromise TBL to Offset Costs of Graduation Requirements Mandate With the New Funding Formula Instead of Revenue Limit Funding

Amend Education Code:

42238.24. Costs related to the salaries and benefits of teachers incurred by a school district or county office of education to provide the courses specified in paragraph (1) of subdivision (a) of Section 51225.3 shall be offset by the amount of state funding apportioned to the district pursuant to ~~this article~~ *Section 42238.02*, or in the case of a county office of education pursuant to Article 2 (commencing with Section ~~2550~~ 2574) of Chapter 12 of Part 2 of Division 1 of Title 1. The proportion of the school district's current expense of education that is required to be expended for payment of the salaries of classroom teachers pursuant to Section 41372 shall first be allocated to fund the teacher salary costs incurred to provide the courses required by the state.



Education Agenda Page 32, Items 6110-161-0001, 6110-296-0001, and 6110-001-0890 Education Mandates Block Grant

June 4, 2013
Page 4

LAO Compromise TBL to Modify BIP Requirements Amend Education Code:

56520. (a) The Legislature finds and declares all of the following:

(1) That the state has continually sought to provide an appropriate and meaningful educational program in a safe and healthy environment for all children regardless of possible physical, mental, or emotionally disabling conditions.

~~(2) That teachers of children with special needs require training and guidance that provides positive ways for working successfully with children who have difficulties conforming to acceptable behavioral patterns in order to provide an environment in which learning can occur.~~

(2) That some school age individuals with exceptional needs have significant behavioral challenges that have an adverse impact on their learning or the learning of other pupils, or both.

(3) That procedures for the elimination of maladaptive behaviors shall not include those deemed unacceptable under Section 49001 or those that cause pain or trauma.

(b) It is the intent of the Legislature:

(1) That children exhibiting serious behavioral challenges receive appropriate supports and interventions in accordance with the federal Individuals with Disabilities Education Act (20 U.S.C. 1400 et seq.) and its implementing regulations.

~~(2) That when positive behavioral interventions and supports, and other strategies are used, they be used in consideration of the pupil's physical freedom and social interaction, be administered in a manner that respects human dignity and personal privacy, and that ensure a pupil's right to placement in the least restrictive educational environment.~~

~~(23) That behavioral management intervention plans be developed and used, to the extent possible, in a consistent manner when the pupil is also the responsibility of another agency for residential care or related services.~~

~~(3) That a statewide study be conducted of the use of behavioral interventions with California individuals with exceptional needs receiving special education and related services.~~

(4) That training programs be developed and implemented in institutions of higher education that train teachers and that in-service training programs be made available as necessary in school districts and county offices of education to ~~assure~~ ensure that adequately trained staff are available to work effectively with the behavioral intervention needs of individuals with exceptional needs.

Education Agenda Page 32, Items 6110-161-0001, 6110-296-0001, and 6110-001-0890 Education Mandates Block Grant

June 4, 2013
Page 5

56521.1 (a) Emergency interventions may only be used to control unpredictable, spontaneous behavior which poses clear and present danger of serious physical harm to the individual with exceptional needs, or others, and that cannot be immediately prevented by a response less restrictive than the temporary application of a technique used to contain the behavior.

(b) Emergency interventions shall not be used as a substitute for the systematic behavioral intervention plan that is designed to change, replace, modify, or eliminate a targeted behavior.

(c) No emergency intervention shall be employed for longer than is necessary to contain the behavior. A situation which

requires prolonged use of an emergency intervention shall require staff to seek assistance of the school site administrator or law enforcement agency, as applicable to the situation.

(d) Emergency interventions shall not include:

(1) Locked seclusion, unless it is in a facility otherwise licensed or permitted by state law to use a locked room.

(2) Employment of a device, material, or objects that simultaneously immobilize all four extremities, except that techniques such as prone containment may be used as an emergency intervention by staff trained in such procedures.

(3) An amount of force that exceeds that which is reasonable and necessary under the circumstances.

(e) To prevent emergency interventions from being used in lieu of planned, systematic behavioral interventions, the parent, guardian, and residential care provider, if appropriate, shall be notified within one school day if an emergency intervention is used or serious property damage occurs. A behavioral emergency report shall immediately be completed and maintained in the file of the individual with exceptional needs. The behavioral emergency report shall include all of the following:

(1) The name and age of the individual with exceptional needs.

(2) The setting and location of the incident.

(3) The name of the staff or other persons involved.

(4) A description of the incident and the emergency intervention used, and whether the individual with exceptional needs is currently engaged in any systematic behavioral intervention plan.

(5) Details of any injuries sustained by the individual with exceptional needs, or others, including staff, as a result of the incident.

Education Agenda Page 32, Items 6110-161-0001, 6110-296-0001, and 6110-001-0890 Education Mandates Block Grant

June 4, 2013
Page 6

(f) All behavioral emergency reports shall immediately be forwarded to, and reviewed by, a designated responsible administrator.

(g) If a behavioral emergency report is written regarding an individual with exceptional needs who does not have a behavioral intervention plan, the designated responsible administrator shall, within two days, schedule an individualized education program (IEP) team meeting to review the emergency report, to determine the necessity for a functional behavioral assessment, and to determine the necessity for an interim plan. The IEP team shall document the reasons for not conducting the functional behavioral assessment, not developing an interim plan, or both.

(h) If a behavioral emergency report is written regarding an individual with exceptional needs who has a positive behavioral intervention plan, an incident involving a previously unseen serious behavior problem, or where a previously designed intervention is ineffective, shall be referred to the IEP team to review and determine if the incident constitutes a need to modify the positive behavioral intervention plan.

56521.2 (a) A local educational agency or nonpublic, nonsectarian school or agency serving individuals with exceptional needs pursuant to Sections 56365 and 56366, shall not authorize, order, consent to, or pay for the following interventions, or any other interventions similar to or like the following:

(1) Any intervention that is designed to, or likely to, cause physical pain, including but not limited to, electric shock.

(2) Releasing noxious, toxic, or otherwise unpleasant sprays, mists, or substances in proximity to the face of the individual.

(3) An intervention which denies adequate sleep, food, water, shelter, bedding, physical comfort, or access to bathroom facilities.

(4) An intervention that is designed to subject, used to subject, or likely to subject, the individual to verbal abuse, ridicule, or humiliation, or that can be expected to cause excessive emotional trauma.

(5) Restrictive interventions that employ a device, material, or objects that simultaneously immobilize all four extremities,

including the procedure known as prone containment, except that prone containment or similar techniques may be used by trained personnel as a limited emergency intervention.

(6) Locked seclusion, unless it is in a facility otherwise licensed or permitted by state law to use a locked room.

(7) An intervention that precludes adequate supervision of the individual.

Education Agenda Page 32, Items 6110-161-0001, 6110-296-0001, and 6110-001-0890 Education Mandates Block Grant

June 4, 2013
Page 7

(8) *An intervention which deprives the individual of one or more of his or her senses.*

(b) *Consistent with Section 1414(d)(3)(B)(i) of Title 20 of the United States Code, in the case of a child whose behavior impedes the child's learning or that of others, the IEP Team shall consider the use of positive behavioral interventions and supports, and other strategies, to address that behavior.*

56523. (a) ~~On or before September 1, 1992, the Superintendent shall develop and the board shall adopt repeal those regulations governing the use of behavioral interventions with individuals with exceptional needs receiving special education and related services, that are no longer supported by statute, including Section 3052 and subdivisions (d), (e), (f), (g), and (ab) of Section 3001, of Title 5 of the California Code of Regulations, as those provisions exist on January 10, 2013.~~

~~(b) This section and the implementing regulations adopted by the board are chapter is declaratory of federal law and deemed necessary to implement the federal Individuals with Disabilities Education Act (20 U.S.C. Sec. 1400 et seq.) and associated federal regulations. This section chapter is intended to provide the clarity, definition, and specificity necessary for local educational agencies to comply with the federal Individuals with Disabilities Education Act (20 U.S.C. Sec. 1400 et seq.). This section, including the implementing state regulations needed to implement federal law and regulations, shall not exceed the requirements of federal law, create new or separate state requirements, or result in a level of state service beyond that needed to comply with federal law and seq.) and shall be implemented by local educational agencies without the development by the Superintendent and adoption by the state board of any additional regulations.~~

(c) ~~As a condition of receiving funding from the federal Individuals with Disabilities Education Act (20 U.S.C. Sec. 1400 et seq.), a local educational agency shall agree to adhere to this chapter and implementing federal regulations and state regulations set forth in this section chapter.~~

(d) ~~The Superintendent may monitor local educational agency compliance with this section chapter and may take appropriate action, including fiscal repercussions, if either of the following is found:~~

~~(1) The local educational agency failed to comply with this section and implementing regulations that govern the provision of special education and related services to individuals with exceptional needs chapter and failed to comply substantially with corrective action orders issued by the department resulting from monitoring findings or complaint investigations.~~

~~(2) The local educational agency failed to implement the decision of a due process hearing officer based on noncompliance with this part, the state implementing regulations, provisions of the federal Individuals with Disabilities~~

Education Agenda Page 32, Items 6110-161-0001, 6110-296-0001, and 6110-001-0890 Education Mandates Block Grant

June 4, 2013
Page 8

Education Act (20 U.S.C. Sec. 1400 et seq.), or the federal implementing regulations, wherein noncompliance resulted in the denial of, or impeded the delivery of, a free appropriate public education for an individual with exceptional needs.

(e) Commencing with the 2010–11 fiscal year, if any activities authorized pursuant to this section *chapter* and implementing regulations are found to be a state reimbursable mandate pursuant to Section 6 of Article XIII B of the California Constitution, state funding provided for purposes of special education pursuant to Item 6110-161-0001 of Section 2.00 of the annual Budget Act shall first be used to directly offset any mandated costs.

~~(f) Contingent on the adoption of a statute in the 2009–10 Regular Session that adds Pursuant to Section 17570.1 to of the Government Code, the Legislature hereby requests the Department of Finance on or before December 31, 2010–2013, to exercise its authority pursuant to subdivision (c) of Section 17570 of the Government Code and file a request with the Commission on State Mandates for the purpose of seeking the adoption of a new test claim to supersede GSM-4464 based on subsequent changes in law that may modify a requirement that the state reimburse a local government for a state mandate.~~

(f) The Legislature hereby requests the Department of Finance on or before December 31, 2013, to exercise its authority pursuant to subdivision (d) of Section 17557 of the Government Code to file a request with the Commission on State Mandates for the purpose of amending the parameters and guidelines of CSM-4464 to delete any reimbursable activities that have been repealed by statute or executive order and to update offsetting revenues that apply to the mandated program.

~~(g) The regulations shall do all of the following:~~

~~(1) Specify the types of positive behavioral interventions which may be utilized and specify that interventions which cause pain or trauma are prohibited.~~

~~(2) Require that, if appropriate, the pupil's individual education plan includes a description of the positive behavioral interventions to be utilized which accomplishes the following:~~

~~(A) Assesses the appropriateness of positive interventions.~~

~~(B) Assures the pupil's physical freedom, social interaction, and individual choices.~~

~~(C) Respects the pupil's human dignity and personal privacy.~~

~~(D) Assures the pupil's placement in the least restrictive environment.~~

~~(E) Includes the method of measuring the effectiveness of the interventions.~~

Education Agenda Page 32, Items 6110-161-0001, 6110-296-0001, and 6110-001-0890 Education Mandates Block Grant

June 4, 2013
Page 9

~~(F) Includes a timeline for the regular and frequent review of the pupil's progress.~~

~~(3) Specify standards governing the application of restrictive behavioral interventions in the case of emergencies. These emergencies must pose a clear and present danger of serious physical harm to the pupil or others. These standards shall include:~~

~~(A) The definition of an emergency.~~

~~(B) The types of behavioral interventions that may be utilized in an emergency.~~

~~(C) The duration of the intervention which shall not be longer than is necessary to contain the dangerous behavior.~~

~~(D) A process and timeline for the convening of an individual education plan meeting to evaluate the application of the emergency intervention and adjust the pupil's individual education plan in a manner designed to reduce or eliminate the negative behavior through positive programming.~~

~~(E) A process for reporting annually to the department and the Advisory Commission on Special Education the number of emergency interventions applied under this chapter.~~

56525. (a) A person recognized by the national Behavior Analyst Certification Board as a Board Certified Behavior Analyst qualifies as a behavioral intervention case manager of a district, special education local plan area, or county office and *or other personnel with appropriate training or credentials* may conduct behavior assessments and provide behavioral intervention services for individuals with exceptional needs.

(b) This section does not require a district, special education local plan area, or county office to use a Board Certified Behavior Analyst to *conduct behavior assessments and provide behavioral intervention services for individuals with exceptional needs.* ~~as a behavioral intervention case manager.~~



Education Agenda Page 32, Items 6110-161-0001, 6110-296-0001, and 6110-001-0890 Education Mandates Block Grant

June 4, 2013
Page 10

LAO Compromise BBL to Reinforce That Special Education Funds Offset BIP Costs

Add Provision to 6110-161-0001:

X. The funds appropriated in Schedule (1) shall be considered offsetting revenues within the meaning of subdivision (e) of Section 17556 of the Government Code for any reimbursable mandated cost claim for behavioral intervention plans (CSM-4464), inclusive of activities performed pursuant to sections 56521.1 and 56521.2 of the Education Code.

LAO Compromise BBL to Designate One-Time Funding for BIP Oversight and Technical Assistance

Add Provision to 6110-001-0890:

X. Of the funds appropriated in this item, \$230,000 in federal Individuals with Disabilities Education Act (IDEA) carryover funds is for the State Department of Education to provide oversight and technical assistance for local educational agencies regarding positive behavioral interventions and support as well as emergency behavioral interventions and procedures. These activities shall include but not be limited to developing informational webcasts or bulletins as well as identifying and communicating best practices and effective models for service delivery.