

FEBRUARY 24, 2020

Overview of Community Care Licensing and Board and Care Facilities

PRESENTED TO: Senate Human Services Committee

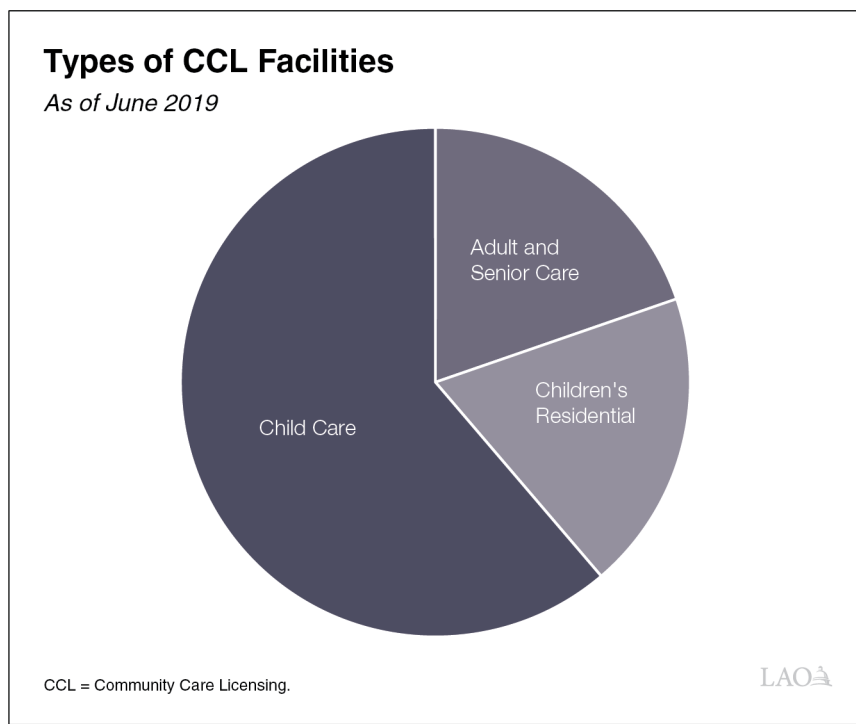
Hon. Melissa Hurtado, Chair



LEGISLATIVE ANALYST'S OFFICE

Overview of CCL

- **Community Care Licensing (CCL) Licenses Various Facilities.** The CCL division of the Department of Social Services develops and enforces regulations designed to protect the health and safety of individuals in 24-hour residential care facilities and day care programs.



- **Facilities Licensed by CCL Provide Services for a Range of Recipients.** In 2019, CCL monitored roughly 71,000 licensed facilities, which include childcare, children's residential, and adult and senior care facilities.
- **Regional Office Staff Conduct Inspections and Investigate Complaints.** As of 2018-19, roughly 600 licensing program analysts located in 30 regional offices across the state primarily were responsible for conducting inspections and investigating complaints lodged against licensed facilities.



Overview of CCL

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■ **CCL Roles and Responsibilities.**

- **Prevention.** CCL largely aims to prevent noncompliance by conducting precensing facility visits and background checks, providing technical assistance to licensees, and clarifying information on laws and regulations, among other activities.
- **Compliance.** CCL mainly ensures that facilities are in compliance with laws and regulations by conducting mandated and unannounced facility inspections and investigating all complaints.

2020 Mandated Inspection Frequency by Facility Type

Facility Type	Inspection Mandate
Adult and Senior Care	1 year
Children’s Residential	2 years
Child Care	3 years/1 year ^a

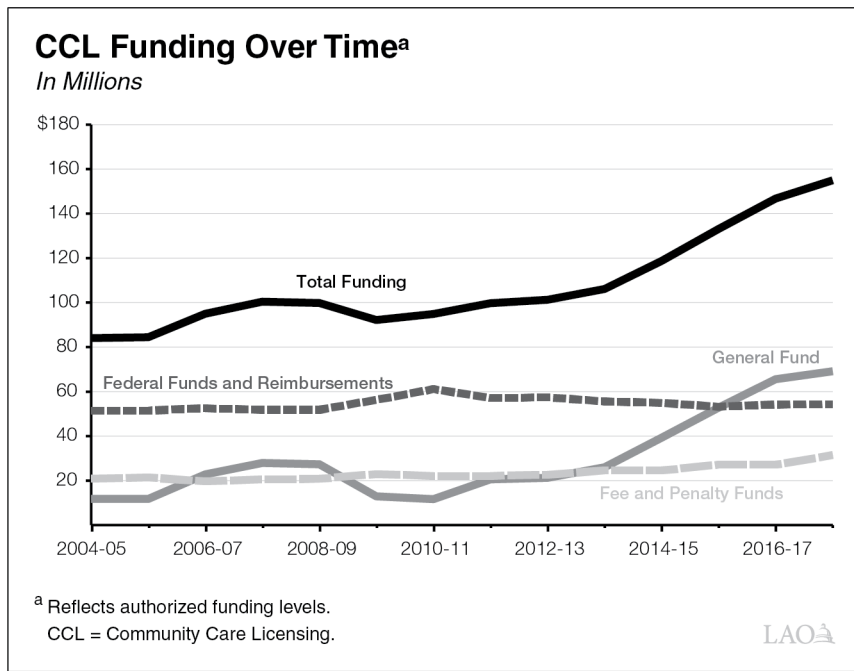
^a By November 2020, mandated inspection frequency for child care facilities will increase from once every three years to an annual basis.

- **Enforcement.** If a facility is found to be out of compliance with CCL licensing standards, CCL has the authority to (1) create and enforce corrective action plans, (2) issue citations and financial penalties for facility deficiencies, (3) revoke or suspend a facility license, and (4) appoint a temporary manager to oversee a facility when licensure is suspended or revoked.



Changes to Funding and Staffing

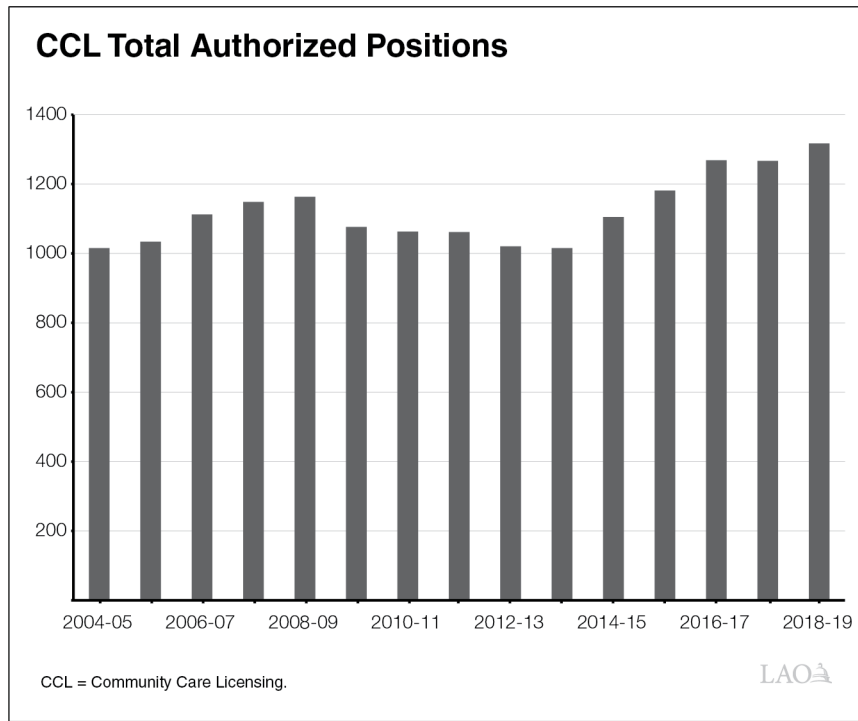
- **Funding History.** Funding for CCL totaled \$155 million (\$69 million General Fund) in 2017-18. General Fund levels historically have varied, but have been increasing steadily since 2013-14.



Changes to Funding and Staffing

(Continued)

- **Staffing History.** Since 2014-15, staffing has gradually increased, reaching a total of about 1,300 authorized positions in 2018-19.



- **Vacancy Rates.** As of February 2019, the CCL staffing vacancy rate was 10 percent, which generally aligns with historical vacancy rates.



Closer Look at Board and Care Facilities

- **What are Board and Care Facilities?** In general, board and care facilities include private residential facilities that primarily serve adults and seniors who cannot live safely on their own without personal care assistance and nonmedical care. This includes CCL-licensed Adult Residential Facilities (ARFs) and Residential Care Facilities for the Elderly (RCFEs).

Total Capacity and Number of RCFEs and ARFs in 2018-19		
	Number of Facilities	Total Capacity
Residential Care Facilities for the Elderly	7,361	188,717
Adult Residential Facilities	5,206	37,240

- **What Populations Do Board and Care Facilities Serve?** ARFs primarily serve adults (aged 18 to 59), whereas RCFEs serve the elderly (aged 60 and older). Both facilities serve individuals with varying needs, including persons with disabilities, cognitive impairments, and mental and behavioral health needs.
- **How Do Individuals Pay for Board and Care?** How much a board and care facility charges a resident varies. Some residents pay out-of-pocket. For other residents, facilities are reimbursed through public assistance programs, such as the Supplemental Security Income/State Supplementary Payment (SSI/SSP) program. The SSI/SSP reimbursement rate is capped at \$1,069 per month in 2020.



Closer Look at Board and Care Facilities

(Continued)

- Most Common Deficiencies in Board and Care Facilities.** A facility is cited for a deficiency if it is found to be in noncompliance with licensing law or regulations.

Total Number of 2018 Deficiencies Cited in RCFEs and ARFs	
Total Cited Deficiencies	
Residential Care Facilities for the Elderly (RCFEs)	17,381
Adult Residential Facilities (ARFs)	6,317
Three Most Common Cited Deficiencies	
RCFEs	Percent of Total Cited Deficiencies
The facility shall be clean, safe, sanitary, and in good repair at all times.	6%
Annual medical assessment and reappraisal for residents with dementia.	4
Hot water temperature.	4
ARFs	
The facility shall be clean, safe, sanitary, and in good repair at all times.	13
Hot water temperature.	6
Disinfectants, cleaning solutions, poisons, and other items that could pose a danger to clients shall be inaccessible.	4

